

Import Documentary Collection Update User Guide
Oracle Banking Trade Finance Process Management
Release 14.5.0.0.0

Part No. F42215-01

May 2021

Oracle Banking Trade Finance Process Management - Import Documentary Collection Update User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

Copyright © 2018-2021, Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

Contents

Oracle Banking Trade Finance Process Management	1
Overview.....	1
Benefits.....	1
Key Features	1
Import Documentary Collection Update Booking	2
Common Initiation Stage.....	2
Registration.....	3
Application Details	5
Collection Details.....	6
Miscellaneous.....	9
Data Enrichment	10
Main Details.....	13
Document Details	15
Other Details.....	18
Maturity Details.....	20
Additional Fields	22
Advices	22
Additional Details.....	23
Updated Details	38
Summary	39
Exceptions.....	41
Exception - Amount Block	41
Exception - Know Your Customer (KYC).....	43
Exception - Limit Check/Credit	44
Multi Level Approval.....	46
Authorization Re-Key (Non-Online Channel).....	46
Summary	47
Reject Approval.....	49
Summary	49
Action Buttons	49
Reference and Feedback	51
References.....	51
Documentation Accessibility.....	51
Feedback and Support.....	51

Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Import Documentary Collection Update Booking

Import Collection update Booking process involves update of an existing documentary collection.

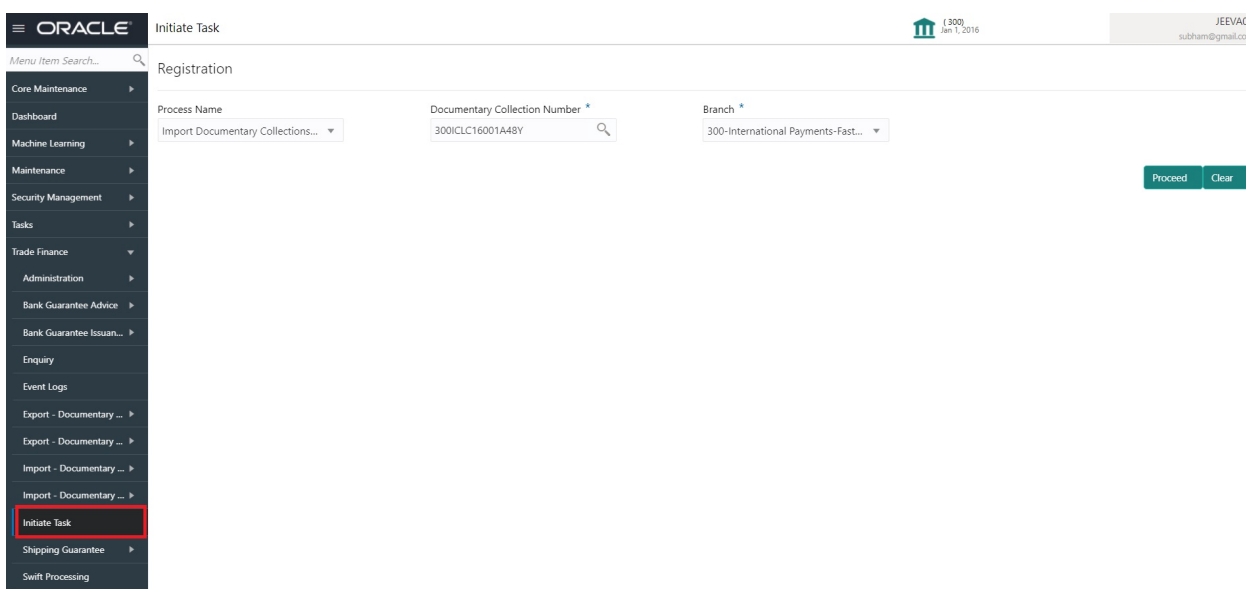
This section contains the following topics:

Common Initiation Stage	Reject Approval
Registration	Data Enrichment
Exceptions	Multi Level Approval

Common Initiation Stage

The user can initiate the new import collection update request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Documentary Collection Number	Select the Documentary Collection Number.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.

Field	Description
Clear	The user can clear the contents update and can input values again.

Registration

The process starts with Registration stage. In Registration stage, user can capture the basic details of the transaction and upload related documents. On submit, the request will be available for an collection expert to handle the request in the next stage.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.

3. Click Trade Finance> Import Documentary Collection> Import Documentary Collection Update.

The screenshot displays the Oracle Flexcube Universal Banking Dashboard. On the left is a dark navigation menu with the Oracle logo at the top. The menu items include: Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, Trade Finance (expanded), Bank Guarantee Advice, Bank Guarantee Issuan..., Enquiry, Export - Documentary..., Import - Documentary..., Import Documentary C..., and Import Documentary C... (highlighted with a red box). The main dashboard area is titled 'Dashboard' and shows several data panels. The top row contains three panels: 'High Value Transactions' (No data to display), 'High Priority Tasks' (table with columns Branch, Process Name, Stage; No data to display), and 'Priority Summary' (table with columns Branch, Process Name, Stage Name; No data to display). Below these is a large empty panel with a gear icon. At the bottom is a 'Status Summary' panel with a dropdown menu set to 'Export Documentary Collections-Upd...'. The top right of the dashboard shows the user's name 'SRIDHAR01', email 'subham@gmail.com', and the date 'Jan 1, 2014'.

The Registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

Application Details

Import Documentary Collection Update Booking

Documents Remarks

Application Details

Documentary Collection Number *
PK2ISNC19081DB8C

Submission Mode
Desk

Process Reference Number
PK2IDCU000057303

Drawee *
001044 GOODCARE PLC

Update Date *
May 6, 2019

Remitting Bank Date/Remitting Date *
May 6, 2019

Branch
PK2-FLEXCUBE UNIVERSAL BANK

Remitting Bank/Remitter
001041 WELLS FARGO

Version Number
1

Priority
Medium

Remitting Bank/Remitter Reference
1234561014

Collection Details

Documents Received

Operation Type *
COL

Bill Amount *
GBP £10,000.00

Acceptance Date

Tenor Type *
Sight

Stage *
FINAL

Amount In Local Currency
GBP £10,000.00

Drawer *
001043 MARKS AND

Product Code *
ISNC

Co Acceptance Required

Bill Outstanding Amount
GBP £10,000.00


Product Description
INCOMING DOCUMENTARY SIGHT BILL


Contract Reference Number
PK2ISNC19081DB8C

Finance Amount
GBP

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Collection Number	<p>Provide the documentary collection Number. Alternatively, user can search the documentary collection reference using LOV.</p> <p>In the LOV, user can search giving any combination details of Documentary Collection Number, Drawer, Currency, Amount, Product Code and Booking Date to fetch the collection details. Based on the search result, select the applicable documentary collection to update the details.</p>	
Drawee	<p>Read only field.</p> <p>Drawee ID and name will be auto-populated based on the selected Documentary Collection Number.</p> <p>The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.</p> <div style="text-align: center;">  <p>Note</p> <p>If the values are allowed to change and the selected drawee/ party is blacklisted the system displays a warning message.</p> </div>	
Branch	<p>Read only field.</p> <p>Branch details will be auto-populated based on the selected Documentary Collection Number.</p>	203-Bank Futura -Branch FZ1

Field	Description	Sample Values
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit of task in Registration stage.	High
Submission Mode	Select the submission mode of Import Collection Update Booking request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Update Date	By default, the application will display branch's current date.	04/13/2018
Remitting Bank/Remitter	Read only field. Remitting Bank/Remitter will be auto-populated based on the selected Documentary Collection Number. The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.  Note In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".	
Remitting Bank/Remitting Bank Reference	Read only field. Remitting Bank/Remitting Bank Reference will be auto-populated based on the selected Documentary Collection Number.	
Process Reference Number	Unique OBTFPM task reference number for the transaction. This is auto generated by the system based on process name and branch code.	
Remitting Bank Date/ Remitting Date	Read only field. Remitting Bank Date/Remitting Date will be auto-populated based on the selected Documentary Collection Number.	
Version Number	Version Number will be auto-generated.	

Collection Details

Registration user can provide collection details in this section. Alternately, details can be provided by Data Enrichment user.

Import Documentary Collection Update Booking

Documents Remarks

Application Details

Documentary Collection Number *
PK2ISNC19081DB8C

Submission Mode
Desk

Process Reference Number
PK2IDCU000057303

Drawee *
001044 GOODCARE PLC

Update Date *
May 6, 2019

Remitting Bank Date/Remitting Date *
May 6, 2019

Branch
PK2-FLEXCUBE UNIVERSAL BANK

Remitting Bank/Remitter
001041 WELLS FARGO

Version Number
1

Priority
Medium

Remitting Bank/Remitter Reference
1234561014

Collection Details

Documents Received

Operation Type *
COL

Bill Amount *
GBP £10,000.00

Acceptance Date

Tenor Type *
Sight

Stage *
FINAL

Amount In Local Currency
GBP £10,000.00

Drawer *
001043 MARKS AND

Product Code *
ISNC

Co Acceptance Required

Bill Outstanding Amount
GBP £10,000.00

Product Description
INCOMING DOCUMENTARY SIGHT BILL

Contract Reference Number
PK2ISNC19081DB8C




Finance Amount
GBP


View Collection Events

Hold Cancel Save & Close Submit

Provide the Liquidation Details based on the description in the following table:

Field	Description	Sample Values
Documents Received	Read only field. Documents Received will be auto-populated based on the selected Documentary Collection Number.	
Tenor Type	Read only field. Tenor will be auto-populated based on the selected Documentary Collection Number. If Tenor type is Mixed, system should disable user input in Liquidation and Unlinked FX rate field. On Submit user will get an error message.	
Product Code	Read only field. Product Code will be auto-populated from the collection booking.	
Product Description	Read only field. This field displays the description of the product as per the product code.	

Field	Description	Sample Values
Operation Type	<p>Operation type will be auto-populated from the collection booking. User can update the operation code, if required.</p> <p> Note User cannot change the operation type, If the operation code is set as ADV or COL or DIS in collection Booking.</p> <p> Note User can change the operation type to ADV or DIS, if the operation code is set as ACC in collection booking.</p>	
Stage	<p>System displays the stage of the transaction. User can change the value from initial to final.</p> <p> Note User cannot change the stage value from Final to initial.</p>	
Co-Acceptance Required	<p>Co-Acceptance toggle will be preset from the collection booking. User can update if required.</p> <p>Toggle 'Yes': Set the toggle to 'Yes', if the co-acceptance has been requested. Toggle 'No': By default the toggle will be set to 'No' which implies the co-acceptance has not been is not required.</p>	
Contract Reference Number	System to populate contract reference number from the back end system once the Documentary Collection Number is selected.	
Bill Amount	<p>Read only field.</p> <p>Bill amount will be auto-populated based on the selected Documentary Collection Reference.</p>	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Bill Outstanding Amount	<p>Read only field.</p> <p>Bill Outstanding Amount will be auto-populated from the collection booking.</p>	
Finance Amount	<p>Read only field.</p> <p>Finance Amount will be auto-populated based on the selected Documentary Collection Number.</p>	

Field	Description	Sample Values
Acceptance Date	Provide the acceptance date.	
Drawer	<p>Read only field.</p> <p>Drawer Name will be auto-populated from the collection booking.</p> <p>The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.</p> <div style="text-align: center;">  <p>Note</p> <p>If the values are allowed to change and the selected drawee/ party is blacklisted the system displays a warning message.</p> </div>	

Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the collection booking update.	
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.	

Action Buttons

Field	Description	Sample Values
Submit	On submit, task will move to next logical stage of Import Documentary Update Collection Booking. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Import Documentary Collection Booking Task. Details entered will not be saved and the task will be removed.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Data Enrichment

On successful completion of registration of an Import Collection Update Booking, the request moves to Data Enrichment stage.

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

FuTura Bank

Sign In

User Name *

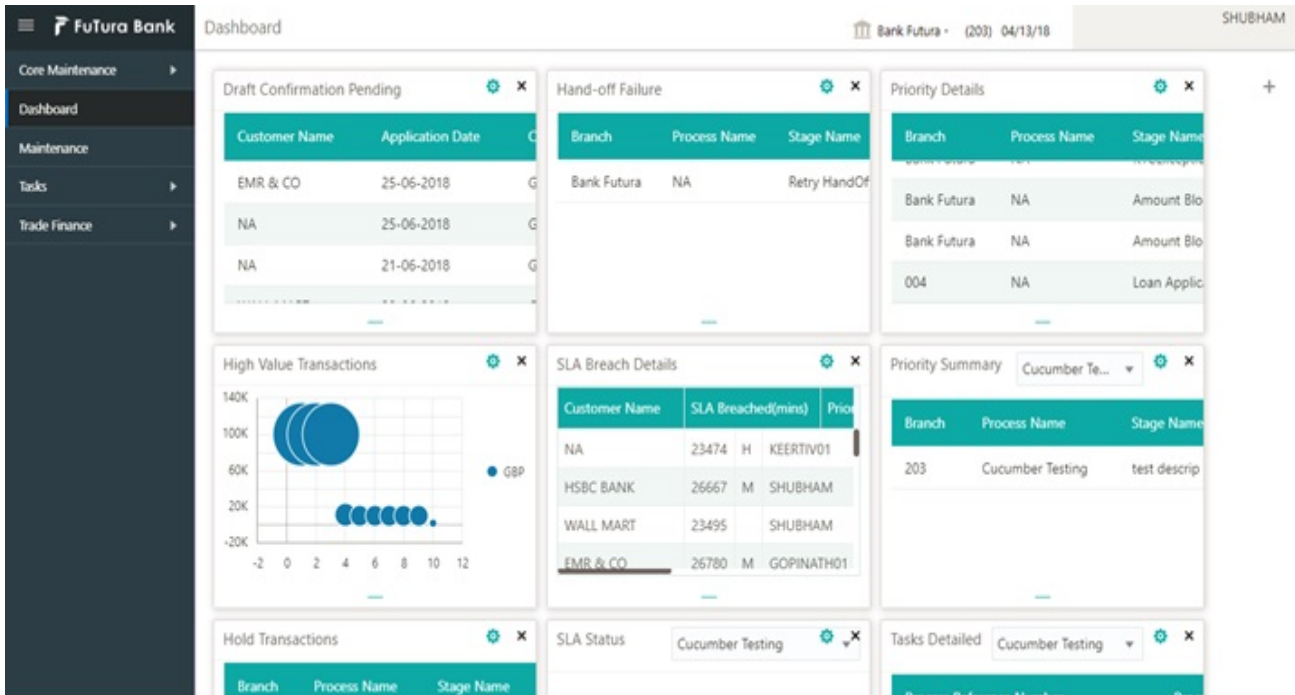
SRIDHAR

Password *

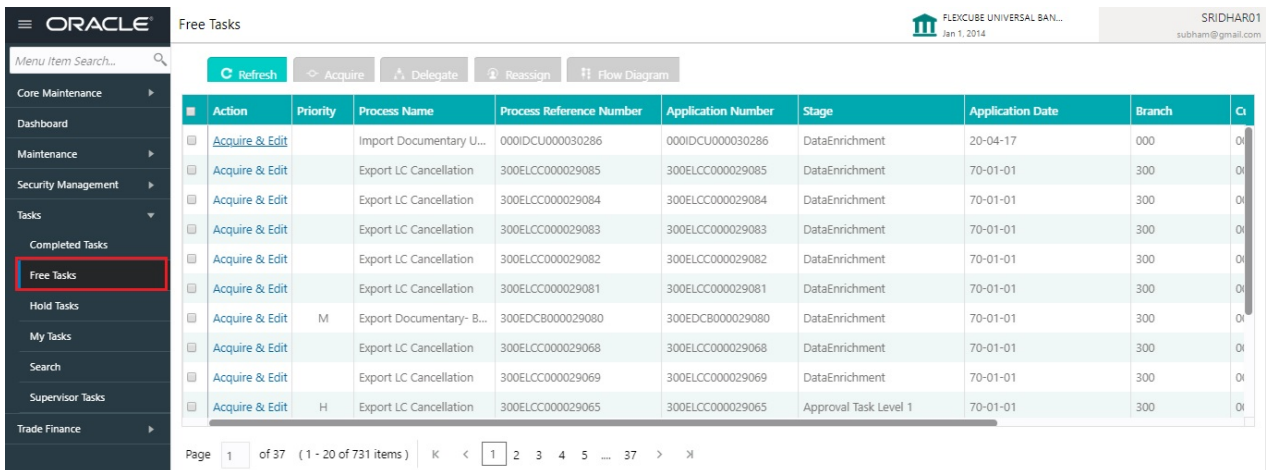
.....

Sign In

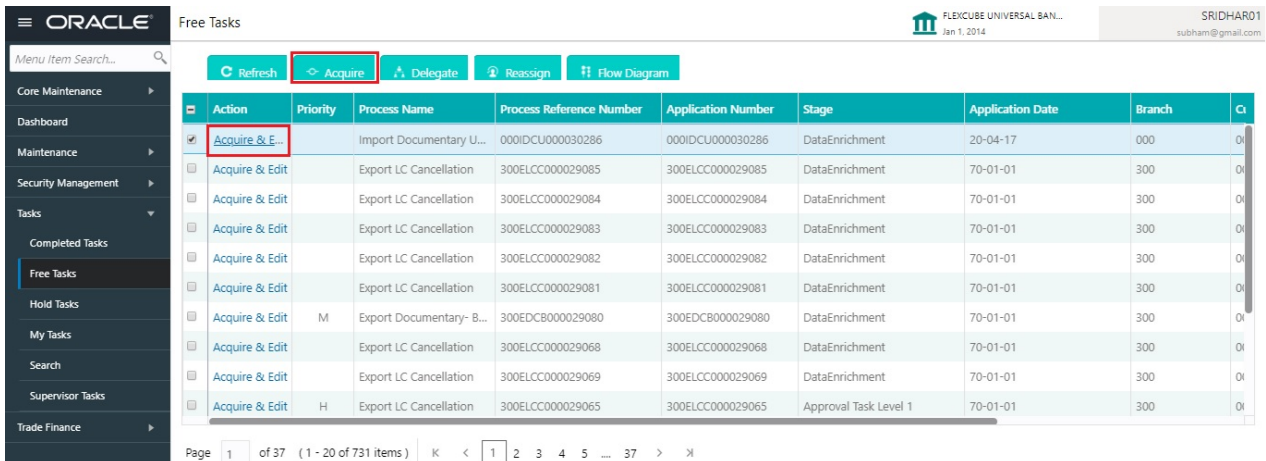
2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.



5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

The screenshot shows the Oracle My Tasks interface. On the left is a navigation menu with 'My Tasks' highlighted. The main area displays a table with the following data:

Ac...	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
Edit		Import Documentary U...	000IDCU000030286	000IDCU000030286	DataEnrichment	20-04-17	000	000004
Edit	M	Import Documentary- B...	000IDCB000030049	000IDCB000030049	Registration	20-04-16	000	000947
Edit	M	Export Documentary Re...	000EDCR000029935	000EDCR000029935	Approval Task Level 1	70-01-01	000	000009

Page 1 of 1 (1 - 3 of 3 items) | K < 1 > X

The Data Enrichment stage has six sections as follows:

- Main Details
- Document Details
- Other Details
- Maturity Details
- Additional Fields
- Advices
- Settlement Details
- Updated Details
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields in Application Details that are already having value from registration/online channels may not be editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000057303

Screen (1 / 10)

Main Details

Application Details

Documentary Collection Number *	Drawee *	Branch	Priority
PK2ISNC19081DB8C	001044 GOODCARE PLC	PK2-FLEXCUBE UNIVERSAL BANK	Medium
Submission Mode	Update Date *	Remitting Bank/Remitter	Remitting Bank/Remitter Reference
Desk	May 6, 2019	001041 WELLS FARGO	1234561014
Process Reference Number	Remitting Bank Date/Remitting Date *	Version Number	
PK2IDCU000057303	May 6, 2019	2	

Collection Details

Documents Received	Tenor Type *	Product Code *	Product Description
	Sight	ISNC	INCOMING DOCUMENTARY SIGHT BILL
Operation Type *	Stage *	Co Acceptance Required	Contract Reference Number
COL	FINAL	<input type="checkbox"/>	PK2ISNC19081DB8C
Bill Amount *	Amount In Local Currency	Bill Outstanding Amount	Finance Amount
GBP £10,000.00	GBP £10,000.00	GBP £10,000.00	GBP
Acceptance Date	Drawer *		
	001043 MARKS AND		

Audit

Reject Refer Hold Cancel Save & Close Back Next

Collection Details

The fields listed under this section are same as the fields listed under the [Collection Details](#) section in [Registration](#). Refer to [Collection Details](#) for more information of the fields. During Registration, fields that were not captured during Registration can be updated in Data Enrichment stage.

ORACLE Free Tasks

(DEFAULTTENITY) (PK2) May 6, 2019 SRIDHAR02 sudhemi@gmail.com

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000048836

Screen (1 / 10)

Main Details

Application Details

Documentary Collection Number *	Drawee *	Branch	Priority
PK2IAN1190812001	000149 PREETHI1	PK2-FLEXCUBE UNIVERSAL BANK	Medium
Submission Mode	Update Date *	Remitting Bank/Remitter	Remitting Bank/Remitter Reference
Desk	May 6, 2019		
Process Reference Number	Remitting Bank Date/Remitting Date *	Version Number	
PK2IDCU000048836	May 6, 2019	2	

Collection Details

Documents Received	Tenor Type *	Product Code *	Product Description
	Usance	IAN1	INCOMING USANCE CLEAN BILLS NOT
Operation Type *	Stage *	Co Acceptance Required	Contract Reference Number
ACC	FINAL	<input type="checkbox"/>	PK2IAN1190812001
Bill Amount *	Amount In Local Currency	Bill Outstanding Amount	Finance Amount
GBP £15,000.00	GBP £15,000.00	GBP £15,000.00	GBP
Acceptance Date	Drawer *		
	000150 PREETHI2		

Audit

Reject Refer Hold Cancel Save & Close Back Next

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Document Details

This section enables the user to capture the details of the documents received.

As part of document details screen in DE stage the user can capture the Transport Document reference and document date. On click of 'Next' system should validate the value in Document Reference field against the transport document/Bill of Lading (MARDOC) against any Outstanding Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.

System to display an override if the Bill Of Lading reference mentioned in the document details screen matches with that of the Bill of Lading reference in any of the unlinked Shipping Guarantees issued for the customer.

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000057303 Overrides View Collection Screen (2 / 10)

- Main Details
- Document Details
- Other Details
- Maturity Details
- Additional Fields
- Advices
- Settlement Details
- Updated Details
- Additional Details
- Summary

Document Details

Documents Details

Document Code	Document Type	Documents Description	Document Reference	Copies Received	Originals Received	Document Date	Action
AIRDOC		AIRDOC change					<input type="checkbox"/> <input type="checkbox"/>
INSDOC		INSDOC					<input type="checkbox"/> <input type="checkbox"/>
INVDOC		INVDOC					<input type="checkbox"/> <input type="checkbox"/>
MARDOC		MARDOC					<input type="checkbox"/> <input type="checkbox"/>

Audit
Reject Refer Hold Cancel Save & Close Back Next

Capture the information based on the description in the following table:

Field	Description	Sample Values
Document Code	System will default the document codes based on the product selected. User can add or delete the code by selecting the line on the grid.	
Document Type	System will default the document type based on the product selected.	
Document Description	System will populate the document description based on the document code. User can edit the description.	
Document Reference	User can capture the document reference, if available	
Copies Received	User can edit the actual copies received.	
Originals Received	User can edit the actual originals received.	
Document Date	User can edit the date on which the document is received.	
Action	Click Edit icon to edit the document code/ document date. Click Delete icon to delete the document code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	On click of Back, system moves to the previous stage.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Other Details

Other Details enables the user to capture details like Shipping guarantee Reference, Debit Value Date, Credit Value Date, Other Bank charges etc.

The screenshot shows the Oracle 'Other Details' form. The left sidebar contains a navigation menu with options: Main Details, Document Details, Other Details (selected), Maturity Details, Additional Fields, Advices, Settlement Details, Updated Details, Additional Details, and Summary. The main content area is titled 'Other Details' and contains three sections:

- Shipping Guarantee Reference:** Includes fields for 'Shipping Guarantee Reference' (value: PK25GLC19081BKQF), 'Transport Document Reference', and 'Transport Document Date'.
- Other Details:** Includes fields for 'Debit Value Date' (value: Mar 22, 2019), 'Credit Value Date' (value: Mar 22, 2019), and 'Value Date' (value: Mar 22, 2019).
- Other Bank Charges:** Includes three rows of charges:
 - Other Bank Charges-1: GBP, £1,200.00
 - Other Bank Charges-2: GBP, £1,000.00
 - Other Bank Charges-3: USD, \$1,300.00

At the bottom of the form, there is a row of buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Shipping Guarantee Reference

Field	Description	Sample Values
Shipping Guarantee Reference	<p>Shipping Guarantee Reference is auto populated from the underlying Shipping Guarantee details, if the Transport Reference Number in the Collection document is same as the Transport Document reference in the Shipping Guarantee.</p> <p>User can select the Shipping Guarantee from the LOV and the Shipping Guarantee Reference Number gets populated, if the Transport Document Reference in Documentary Collection is different from the Shipping Guarantee Transport Document Reference. System to populate an override < Transport Document Reference different from the Documentary Collection and Shipping Guarantee></p> <p>System provides an override < Mismatch is Transport Document date. Accept to Proceed.>, if the Transport Document Date as per the Collection Document is different from the Transport Document Date as per the Shipping Guarantee.</p>	
Transport Document Reference	<p>Transport Document Reference is auto populated from the underlying Shipping Guarantee details, if the Transport Reference Number in the Collection document is same as the Transport Document reference in the Shipping Guarantee.</p> <p>User can select the Shipping Guarantee from the LOV and the Shipping Guarantee Reference Number, Transport Document Reference gets populated, if the Transport Document Reference in Documentary Collection is different from the Shipping Guarantee Transport Document Reference.</p>	
Transport Document Date	<p>Transport Document Date is auto populated from the underlying Shipping Guarantee details, if the Transport Reference Number in the Collection document is same as the Transport Document reference in the Shipping Guarantee.</p> <p>User can select the Shipping Guarantee from the LOV and the Transport Document Date gets populated, if the Transport Document Reference in Documentary Collection is different from the Shipping Guarantee Transport Document Reference.</p> <p>System provides an override < Mismatch is Transport Document date. Accept to Proceed.>, if the Transport Document Date as per the Collection Document is different from the Transport Document Date as per the Shipping Guarantee.</p>	

Other Details

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the collection transaction.	

Maturity Details

Draft Details

Update the draft details based on the description in the following table:

Field	Description	Sample Values
Draft Code	Update the draft code. Alternatively, user can search the draft details using LOV.	
Draft Amount	Update the draft amount.	

Field	Description	Sample Values
Action	Click Edit icon to edit the draft code. Click Delete icon to delete the draft code.	

Maturity and Multi Tenor Liquidation Details

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
SNo.	Serial number of the tenor record.	
Tenor Basis	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Start Date	Select the start date.	
Tenor Days	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Transit Days	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Maturity Date	Maturity date as Start date + Tenor Days or Transit Days. This field is disabled if the Tenor Type is 'Mixed'	
Bill Amount	Provide the bill amount.	
Liquidated Amount	Provide the liquidation amount.	
Exchange Rate	Provide the Exchange Rate.	
Liquidation Date	Provide the liquidation date.	
Liquidation Amount	Provide the bill amount.	
Action	Click Edit icon to edit the tenor record. Click Delete icon to delete the tenor record.	

Field	Description	Sample Values
Interest from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Interest to Date	Maturity date is auto-populated in this filed.	
Accept Commission From Date	Provide the accept commission from date.	
Accept Commission To Date	Provide the accept commission to date.	

Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

ORACLE My Tasks FLEXCUBE UNIVERSAL BAN... SRIDHAR01
Jan 1, 2014 subham@gmail.com

Import Documentary Update Booking - DataEnrichment :: Application No: 000IDCU000030286 Documents Remarks View Collection Screen (3 / 8)

Main Details Consolidated Update Additional Fields Advices Additional Details Settlement Details Updated Details Summary

Additional Fields
No Additional fields configured!

Audit Reject Refer Hold Cancel Save & Close Back Next

Advices

Advices menu displays the advices from the back office as tiles. User can update the fields in the tile for details to be captured in the advices. User can suppress the advice.

ORACLE Free Tasks (DEPARTMENT) LKAD May 2, 2019 SRIDHAR01 subham@gmail.com

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000058905 Overrides View Collection Screen (6 / 10)

Main Details Document Details Other Details Maturity Details Additional Fields Advices Settlement Details Updated Details Additional Details Summary

Advices
Advice : PAYMENT_MESS...
Advice Name : PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress : NO
Advice

Audit Reject Refer Hold Cancel Save & Close Back Next

Updated Details

This section enables the user to view the changes made to the Import Documentary Collection Update Booking.

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000057312

Overrides View Collection

- Main Details
- Document Details
- Other Details
- Maturity Details
- Additional Fields
- Advices
- Settlement Details
- Updated Details**
- Additional Details
- Summary

Updated Details

Screen (8 / 10)

Documentary Collection - Updated Details

Field Name	Updated Value	Value as per Bill Booking
	001044	001185
	PK25GLC19081BKQF	

Party Details

Party Type	Party ID	Customer Ref No	Address1	Address2	Country	Status
No data to display.						

Fx Linkage

FX Reference Number	Contract Available Amount	Status
No data to display.		

Loan Preference

Credit Line	Loan Tenor Units	Status
No data to display.		

Tracer Details

Tracer Type	Tracer Required	Tracer Received Party	Tracer Medium	Tracer Frequency	Status
Acceptance	N			NONE	Modify
Pavment	N			NONE	Modify

Audit

Reject Refer Hold Cancel Save & Close Back Next

Additional Details

My Tasks

(DEFAULTTENITY)
(PK2)
May 6, 2019
SRIDHAR02
suhem@gmail.com

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000057312
Overrides View Collection

- Main Details
- Document Details
- Other Details
- Maturity Details
- Additional Fields
- Advices
- Settlement Details
- Updated Details
- Additional Details
- Summary

Additional Details

Charge Details	Preview Message	Payment Details	FX Linkage
Charge : Commission : Tax : Block Status :	Language : Preview Message : -	Allow Rollover : Advance by Loan : Liquidate using : Collateral :	Reference Number : Currency : Contract Amount :
Loan Preferences	Tracer Details	Linked Loan Details	
Loan Product : Linkage Ref. No : Loan Tenor Loan : Currency : Loan Amount : Loan Maturity Date :	Payment Tracer : No Acceptance Tracer : No Charges Details : No	Loan Account : Loan Currency : Loan Amount :	

Audit
Reject
Refer
Hold
Cancel
Save & Close
Back
Next

Limits & Collateral

Limit & Collateral

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf

Save & Close Cancel

Limit Details

Customer ID: 001345

Line ID*: 001345

Contribution %*: 100

Contribution Currency: GBP

Limit Currency: GBP

Limit Check Response: Available

Limits Description:

Contribution Amount*: £20,000.00

Limit Available Amount:

Response Message: The Earmark can be performed as the f

Verify

Save & Close Cancel

Field	Description	Sample Values
Customer ID	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	

Field	Description	Sample Values
Contribution	System will default this to 100%.	
Contribution Currency	The Bill currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	



Note

This section is applicable only for the bills that are co-accepted.

This section displays the collateral details:

Limit & Collateral

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf

Save & Close Cancel

Collateral Details

Collateral Type *
Cash Collateral

Collateral % *
20

Currency
GBP

Contribution Amount *
£4,000.00

Settlement Account *
2030013460000000017

Settlement Account Branch
203

Settlement Account Currency
GBP

Account Available Amount
£998,926,760.53

Response
Available

Response Message
The amount block can be performed as

Verify

Save & Close Cancel

Field	Description	Sample Values
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field.	
Collateral %	This field displays the percentage of collateral.	
Currency	The Bill currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	

Field	Description	Sample Values
Settlement Account	This field displays the details of settlement account for the collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	This field displays the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selected.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	



Note

This section is applicable only for the bills that are co-accepted.

Charge Details

This section displays charge details:

Charge Details >

Recalculate
Redefault

▲ Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	2030013460000000017 🔍
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	2030013460000000017 🔍
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	2030013460000000017 🔍

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive

✔ Save & Close
✖ Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

This section displays the commission details:

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	This field displays the commission component.	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Message Preview

This section displays the preview message of MT412, if acceptance is involved.

Preview Message

Preview - SWIFT Message

Language: English | Message Type:

Preview Message

Preview - Mail Advice

Language: English | Advice Type:


Preview Message

FX Linkage

This section enables the user to link the existing FX contract(s) to the bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Update the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
Bill Currency	Read only field. This field displays the currency details from the bill.	
Bill Amount	Read only field. This field displays the bill amount from the drawing.	
Maturity Date	System to display the due date for the bill in case of a Usance bill. In case of a sight bill, the system should display the current branch date as Maturity Date (for processing immediate payment).	
FX Reference Number	Select the FX contract from the LOV.	
Bill Currency	This field displays the currency of the FX contract.	
Contract Amount	This field displays the amount of the FX contract.	
Available Contract Amount	This field displays the unlinked/ available amount under the FX contract.	
Contract Amount	If FX contract is linked already for the underlying bill, system will display the amount linked. If FX contract is not linked, user can provide the Linkage amount.  Note The linkage amount must not be more than the bill amount.	
Rate	This field displays the rate at which the contract is booked.	
Amount in Contract Currency	This field displays the amount in contract currency converted in FX currency.	
FX Expiry Date	This field displays the expiry date of the contract.	

Field	Description	Sample Values
FX Delivery Period - From	This field displays the date from which the contract is available for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is available for utilization.	
Action	Click Edit icon to edit the FX details Click Delete icon to delete the FX details.	

Payment Details

Payment Details x

PaymentDetails

Auto Liquidate

Liquidate using Collateral

Advance by Loan

Outstanding Collateral Amount
USD

Allow Rollover

Protest Date

Auto Change from Acceptance to Advance

Split Settlement

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
BILL_LIQ_AMT	USD	R	PK20012020023	ROBERT INC	PK2	USD
BILL_LIQ_AMTEQ	USD	R	PK20012020023	ROBERT INC	PK2	USD

Page 1 (1 of 2 items) K < 1 > X

Split Settlement +

Component	Contract Currency	Amount
No data to display.		

Page 1 (0 of 0 items) K < 1 > X

Split Settlement Details Fetch Exchange Rate +



Sequence	Amount	Settlement Account	Account Customer	Account Currency	Account Branch	Exchange Rate	Action
1	1200	PK20062170026	006217	GBP	PK2		<input checked="" type="checkbox"/> <input type="checkbox"/>

Page 1 (1 of 1 items) K < 1 > X

Save & Close Close

Select the payment details options based on the description in the following table:

Field	Description	Sample Values
Auto Liquidate	Select Auto Liquidate check box, if required. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	
Advance by Loan	Select Advance by Loan check box, if required. Advance by Loan enables creation of loan at the time of Final liquidation.	
Allow Roll over	Select Allow Rollover check box, if required.	

Field	Description	Sample Values
Auto Change from Acceptance to Advance	<p>Select Auto Change from Acceptance to Advance, if required. This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.</p> <p> Note This option is applicable only for the bills that are co-accepted by the bank.</p>	
Outstanding Collateral Amount	<p>Select Auto Liquidate check box, if required.</p> <p>Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.</p> <p> Note Cash collateral is applicable only for the bills which are co-accepted by the bank.</p>	
Liquidate using Collateral	Select Liquidate using Collateral check box, if required.	
Protest Date	Select the protest date.	
Split Settlement	<p>Toggle On: Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p> <p>Toggle Off: Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p>	Disable
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays debit/ credit for the component.	
Account	Customer account.	
Account Description	Description of the account.	
Branch	Branch of the customer's account.	
Account Currency	Currency of the account.	
Split Settlement		

Field	Description	Sample Values
Component	Components gets defaulted based on the product selected.	
Contract Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Account	Details of the settlement account.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Exchange Rate	Exchange rate for the split settlement.	
Action	Click Edit icon to edit the split settlement details record. Click Delete icon to delete the split settlement details record.	

Tracers

This section enables the user to view the defaulted tracer details from back end application. It also allows the user to add new tracer details. Add new tracer details based on the description in the following table:

Acknowledgement Tracer, Acceptance Tracer and Payment Tracer are applicable for this process.

Tracer Details x

Payment Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date Template ID

Acceptance Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date Template ID

Field	Description	Sample Values
Tracer Required	Select if Tracer is required or not.	
Number of Tracers	Provide the number tracers required.	

Field	Description	Sample Values
Tracer Frequency	Select the frequency for generation of the tracer.	
Tracer Medium	Select the tracer medium from the LOV.	
Tracer Receiver Party	Select the tracer receiver party from the LOV.	
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.	
Template ID	Select the template ID from LOV.	

Loan Preference

This section enables the user to link a loan to liquidate the document under collection. This section will be enabled based on the product selected for documents under collection.

The screenshot shows the 'Loan Preferences' dialog box. It is divided into three main sections:

- Loan Preferences:** Contains input fields for Drawing/Collection Ref, Product Code, Tenor Type, Bill Currency-Amount, Loan Currency-Amount, Loan/Finance Value Date, Customer Id, Exchange Rate, Loan Maturity Date, and Customer Name.
- Loan Interest/UDE Details:** A table with columns: User Defined Element ID, UDE Description, Rate Code, Usage, and UDE Value. It displays 'No data to display.'
- Limits:** A table with columns: Linkage Type, Linkage Reference Number, Linked Percentage, and Limit Amount. It displays 'No data to display.'

At the bottom right of the dialog, there are two buttons: 'Save & Close' and 'Close'.

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Drawing/Collection Ref	Defaults from the underlying task. User cannot change the value.	
Bill Currency-Amount	Outstanding Drawing/ Collection Currency and Amount. Defaults from the underlying task. User cannot change the value.	
Customer Id	Defaults from the underlying task. User cannot change the value.	
Customer Name	Applicant/ Drawee Name. Defaults from the underlying task. User cannot change the value.	
Product Code	Defaults from the underlying Collection/ Drawing Product maintenance. User cannot change the value.	

Field	Description	Sample Values
Loan Currency-Amount	<p>Loan currency is defaulted from the bill currency. User can change this to Local Currency. System should display an error message on tab out if the currency selected is not a Bill currency or Local Currency.</p> <p>System populates the loan account based on the outstanding drawing/ Collection amount less the collateral amount. User cannot change the Loan amount.</p>	
Exchange Rate	<p>Exchange rate applicable for Local Currency.</p> <p>System displays the Exchange rate from maintenance. User cannot change the value.</p> <p>System validates the exchange rate is within the allowed range.</p>	
Loan Tenor Units	<p>Period of loan.</p> <p>System defaults the value. User can change the value. The numerical value for Days or months or Years is applicable.</p>	
Tenor Type	<p>System defaults the value. User can change the value. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable.</p>	
Loan/Finance Value Date	<p>System defaults the branch date as Value date. User cannot change the value.</p>	
Loan Maturity Date	<p>Loan maturity date as default based on Tenor type and Tenor units. User cannot change the value.</p>	
Liquidate Charge/Comm On LC	<p>Toggle On: Turn the toggle on to include the outstanding Commission/charge to be included as part of Liquidation.</p>	
Loan Interest/UDE Details		
User Defined Element ID	<p>System populates the UDE Element ID as part of simulation. User are allowed to change the selection through LOV.</p>	
UDE Description	<p>System populates the UDE description as part of simulation. If a user changes the UDE ID, system should populate the description.</p>	
Rate Code	<p>System populates the Rate code as part of simulation.</p>	
Usage	<p>System populates the details as part of simulation.</p>	
UDE Value	<p>System populates the value as part of simulation.</p>	

Field	Description	Sample Values
Limits		
Serial Number	System defaults the value.	
Linkage Type	System defaults the linkage type as "Facility" from back office.	
Linkage Reference Number	System defaults the Linkage reference as part of simulation. User can change the value. Linkages available for the customer should be displayed for selection.	
Linked Percentage	User can enter the value. Maximum is 100. User cannot enter negative values.	
Limit Amount	System defaults the value. System should populate the value based on the contribution percentage.	

Linked Loan Details

This user can view the details of linked loan accounts.

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Loan Account	The details of the linked loan account.	
Loan Currency	Loan Currency of the linked loan account.	
Loan Amount	Loan amount of the linked loan account.	

Summary

User can review the summary of details updated in Data Enrichment stage of Import Documentary Collection Update Booking request.

The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

The screenshot displays the Oracle My Tasks interface. The main content area is titled 'Summary' and contains a grid of data segments. The segments are as follows:

Main Details	Maturity Details	Document Details	Other Details	Additional Fields
Booking Date : 2019-05-06 Submission Mode : Desk Bill Amount : GBP 1000	Tenor Type : Slight	Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : OTHERDOC	Value Date : 2019-03-22 Debit Value Date : 2019-03-22 Credit Value Date : 2019-03-22	Click here to view : Additional fields
Advices	Limits and Collaterals	Commission,Charges and Taxes	Preview Messages	Payment Details
Advice 1 : PAYMENT_ME	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 1433.06 Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : +	Advance by Loan : No Allow Rollover : No Liquidate using : No Collateral
FX Linkage	Loan Preferences	Tracer Details	Settlement Details	Updated Details
Reference Number : Contract Amount : Contract Currency :	Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :	Payment Tracer : No Acceptance Tracer : No Confirmation Tracer : No Charge Tracer : No	Component : REFUND_INT Account Number : PK20011850 Currency : GBP	Click here to view : Amended/Updated Details
Parties Details	Compliance details	Accounting Details	Linked Loan Details	
Drawer : ABC CORP Presenting Bank : RBS PLC Drawee : GOODCARE PLC	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Event : Account Number : Branch :	Loan Account : Loan Currency : Loan Amount :	

The bottom toolbar contains the following action buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, Help, Submit.

Tiles Displayed in Summary

- Main Details - User can view details about application details and collection details.
- Party Details - User can view party details like remitting bank, drawee, drawer etc.
- Maturity Details - User can view the maturity details in case usance and multi tenor.
- Documents - User can view the document details.
- Shipment Details - User can view the shipment details.
- FX Linkage - User can view the details of FX Linkage.
- Updated Details - User can view the details updated to the Import Collection.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Tracers - User can view the tracer details.
- Message Preview - User can view the preview of the simulated messages to remitting bank.
- Other Preferences - User can view the details of the preferences selected.
- Accounting Details - User can view the accounting entries generated by back office system.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	

Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Submit	<p>Task will move to next logical stage of Import Documentary Collection Update Booking.</p> <p>If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.</p>	
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	

Exceptions

The Import Collection Update Booking request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Bock Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	<p>Cancel the Import Collection Update Booking Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions will be listed in your queue. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Draft Details - User can view the draft details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Advices - User can view the advices.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the Import Collection Booking KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	

Field	Description	Sample Values
Cancel	Cancel the Import Collection Update Booking Limit exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Drawee Name
- Drawer Name
- Bill Currency
- Bill Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the FuTura Bank application interface. On the left is a navigation menu with options like Dashboard, Maintenance, Tasks, My Tasks, Free Tasks, Hold Tasks, Supervisor Tasks, and Trade Finance. The main area shows a 'My Tasks' table with columns for Edit, Priority, Application Number, Amount, Process Name, and Stage. Two tasks are listed with application numbers 203ILCISS000000888 and 203ILCISS000000817. A modal form is open over the first task, allowing re-keying of fields: Applicant Party (001345), Currency (GBP), Amount (£15,000.00), Beneficiary Party (001344), and Expiry Date (08/17/18). Each field has a green checkmark indicating it is valid. The modal includes 'Proceed' and 'Cancel' buttons at the bottom.

Summary

ORACLE My Tasks					(DEFAULTTENITY)	(PK2) May 6, 2019	JEEVA02 subham@gmail.com		
Import Documentary Collection Booking Update - Approval Task Level 1 :: Application No: PK2IDCU000059128									
Summary									
Main Details		Maturity Details		Additional Fields		Advices		Limits and Collaterals	
Booking Date : 2019-05-06 Submission Mode : Desk Bill Amount : USD 6000		Tenor Type : Usance		Click here to view : Additional fields		Advice 1 : PAYMENT_ME		Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	
Commission,Charges and Taxes		Preview Messages		Payment Details		FX Linkage		Loan Preferences	
Charge : Commission : Tax : Block Status : Not Initia		Language : ENG Preview Message : -		Advance by Loan : No Allow Rollover : No Liquidate using : No Collateral		Reference Number : Contract Amount : Contract Currency :		Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :	
Tracer Details		Settlement Details		Updated Details		Parties Details		Compliance details	
Payment Tracer : No Acceptance Tracer : No Confirmation Tracer : No Charge Tracer : No		Component : REFUND_INT Account Number : PK20012020 Currency : USD		Click here to view : Amended/Updated Details		RemittingBank : WELLS FARG Drawer : MARKS AND Drawee : GOODCARE PLC		KYC : Not Initia Sanctions : Not Initia AML : Not Initia	
Audit						Hold		Approve	

Tiles Displayed in Summary:

- Main Details - User can view details about application details and collection details.
- Party Details - User can view party details like remitting bank, drawee, drawer etc.
- Maturity Details - User can view the maturity details in case usance and multi tenor.
- Documents - User can view the document details.
- Shipment Details - User can view the shipment details.
- Additional Fields - User can view the additional fields.
- FX Linkage - User can view the details of FX Linkage.
- Updated Details - User can view the details updated to the Import Collection.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Tracers - User can view the tracer details.
- Message Preview - User can view the preview of the simulated messages to remitting bank.
- Other Preferences - User can view the details of the preferences selected.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

A

Additional Details	
Charge Details	24
FX Linkage	27
Limits & Collateral	21
Loan Preference	31
Message Preview	26
Payment Details	28
Tracers	29

B

Benefits	4
----------------	---

D

Data Enrichment	12
Additional Details	20
Advices	19
Main Details	14
Maturity Details	17
Summary	32
Updated Details	32

E

Exception - Amount Block	
Action Buttons	35
Amount Block Exception	35
Summary	35
Exception - Know Your Customer (KYC)	
Action Buttons	37
Summary	36
Exception - Limit Check/Credit	
Action Buttons	38
Limit/Credit Check	38
Summary	38
Exceptions	
Exception - Amount Block	34
Exception - Know Your Customer (KYC)	36
Exception - Limit Check/Credit	37, 39

I

Import Documentary Collection Update Booking ..	5
Data Enrichment	12
Exceptions	34
Multi Level Approval	39
Registration	5
Reject Approval	42

K

Key Features	4
--------------------	---

M

Main Details	
Action Buttons	16
Application Details	15
Collection Details	15
Multi Level Approval	
Authorization Re-Key	39
Summary	40

O

Overview	4
----------------	---

R

Registration	5
Collection Details	9
Miscellaneous	11
Reject Approval	42
Action Buttons	42
Summary	42

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Feedback and Support

Oracle welcomes customers' comments and suggestions on the quality and usefulness of the document. Your feedback is important to us. If you have a query that is not covered in this user guide or if you still need assistance, please contact documentation team.